

Export LC Transfer - Islamic - User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Export LC Transfer - Islamic User Guide
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Contents

Oracle Banking Trade Finance Process Management	1
Overview.....	1
Benefits.....	1
Key Features	1
Export LC Transfer	2
Common Initiation Stage.....	2
Registration	3
Application Details	5
LC Details	6
Miscellaneous	8
Scrutiny	9
Main Details	12
Availability.....	17
Payment Details	24
Additional Fields	28
Additional Details	30
Summary	36
Data Enrichment	38
Main Details	41
Availability.....	45
Payments.....	46
Documents and Conditions	46
Additional Fields	48
Advices	48
Additional Details	51
The Preview section consists of following	53
Settlement Details	60
Summary	62
Customer Response - Draft Confirmation	64
Multi Level Approval.....	66
Re-Key Authorization.....	66
Customer - Acknowledgement Format.....	69
Customer - Reject Format.....	70
Reference and Feedback	74
References.....	74
Documentation Accessibility.....	74
Feedback and Support	74

Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export LC Transfer - Islamic

If the Export LC Advised to the beneficiary allows for transfer, the beneficiary of the Export LC (First Beneficiary) can transfer the LC to one or more Beneficiaries (Second Beneficiaries)

The various stages involved for Transfer of an Export Letter of Credit are:

- Receive and verify documents, Input Application details (Non Online Channel)- Registration stage
- Verify/capture details (Online/Non Online Channels)- Scrutiny stage
- Input/Modify details of Transfer LC - Data Enrichment stage
- Check balance availability for amount block
- Check for sanctions & KYC status
- Create amount block for cash margin/charges if applicable
- Capture remarks for other users to check and act
- Hand off request to back office

The design, development and functionality of the Islamic Export LC Transfer process flow is similar to that of conventional Export LC Transfer process flow.

This section contains the following topics:

Common Initiation Stage	Registration
Scrutiny	Data Enrichment
Customer Response - Draft Confirmation	Multi Level Approval
Customer - Acknowledgement Format	Customer - Reject Format

Common Initiation Stage

The user can initiate the new Islamic export LC transfer request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

ORACLE

Initiate Task

(DEFAULTTENTITY) Oracle Banking Trade Finan... May 5, 2021 ZARTAB02 subham@gmail.com

Administration

Bank Guarantee Advise

Bank Guarantee Issuance

Common Group Message

Enquiry

Export - Documentary Collection

Export - Documentary Credit

Import - Documentary Collection

Import - Documentary Credit

Initiate Task

Limits Tree

Maintenance

Process Initiation

Registration

Process Name Branch *

Islamic Export LC Transfer Service PK2-Oracle Banking Trade Finan...

Proceed Clear

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

Action Buttons

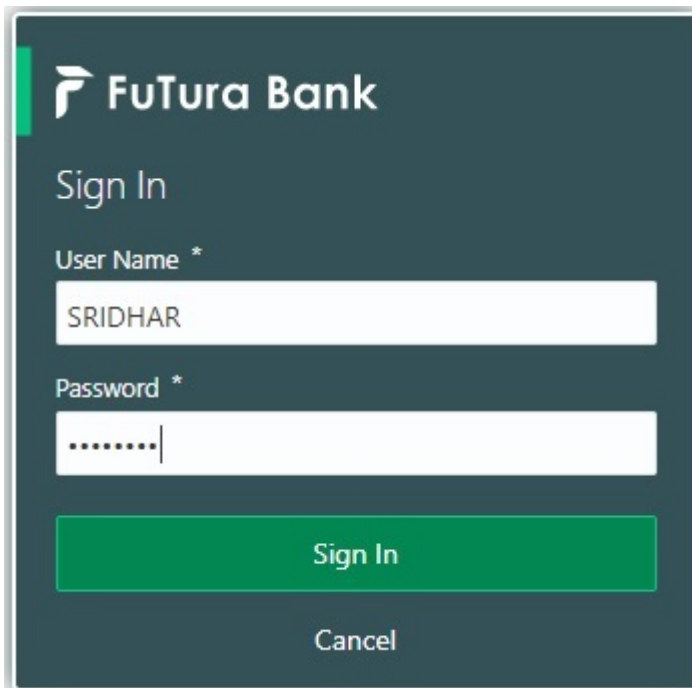
Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

A user can register a request for an Islamic Export LC Transfer received by mail/Courier at the front desk. During registration, user captures the basic details of the request, check the signature of authorized signatory of the issuing bank, and then upload related documents. On submit of the request, the task should be available for an LC expert to handle in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

SRIDHAR

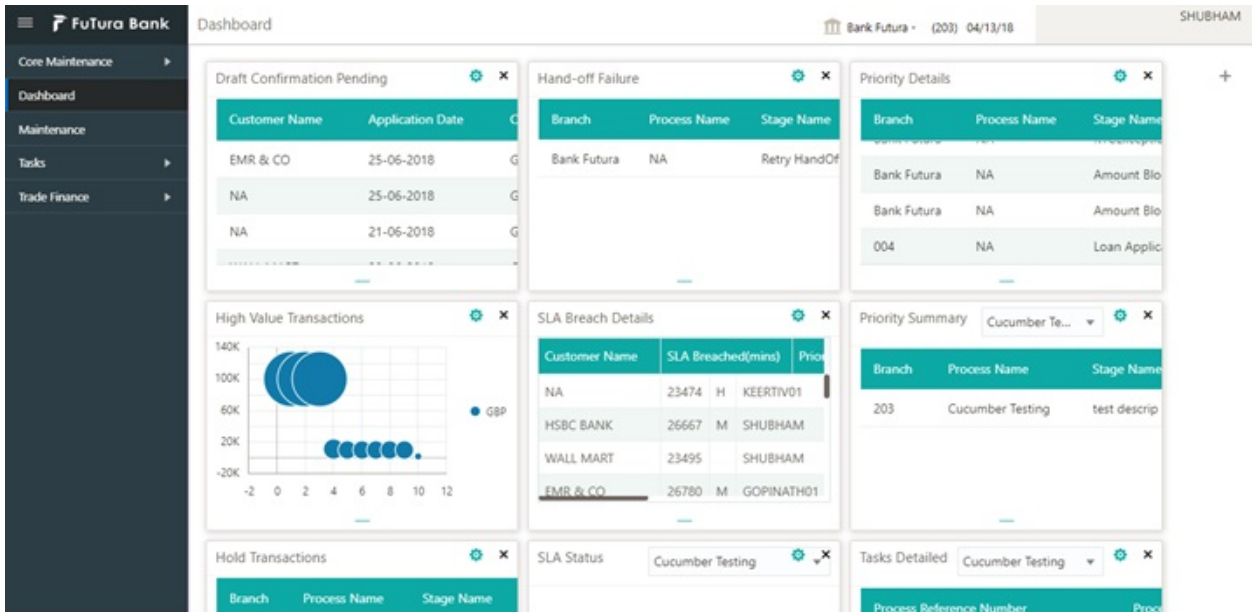
Password *

.....

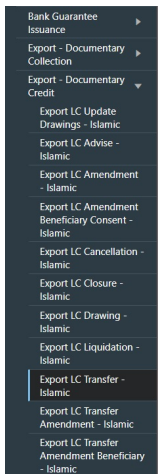
Sign In

Cancel

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click **Trade Finance - Islamic > Export - Documentary Credit> Export LC Transfer - Islamic.**



The Registration stage has two sections Application Details and Transfer LC Details. Let's look at the details of Registration screens below:

Application Details

Export LC Transfer - Islamic
Documents
Remarks
Customer Instruction
Common Group Messages

Application Details

Export LC Reference Number *
PK2ELIC211255001

Available Amount *
GBP

First Beneficiary *
001043 MARKS AND SP

Branch
PK2-Oracle Banking Trade Finan...

Priority
Medium

Submission Mode
Desk

Transfer Amount *
GBP

Process Reference Number
PK2IELT000011468

Transfer Date
May 5, 2021

Customer Reference Number
PK2ELIC211255001

Allow Substitution of Document
☐

View LC

Events

LC Details

LC Type
Sight

Advising Bank

Product Code
ELIC

Product Description
Islamic Export LC with Adding Confirms

40A - Form of Documentary Credit
IRREVOCABLE

Form of Documentary Credit Details

20 - Documentary Credit Number *
PK2ELIC211255A/JOD

23 - Reference To Pre-Advice

31C - Date of Issue
May 5, 2021

40E - Applicable Rules
UCP LATEST VERSION

Date of Expiry *
Aug 3, 2021

31D - Place of Expiry
ASDF

Second Beneficiary *
000335 SH TEST CORP

39A - Percentage Credit Amount Tolerance
10 / 10

39C - Additional Amount Covered

Hold

Cancel

Save & Close

Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Export LC Reference Number	User can search the Transfer LC Reference Number by using the LOV. User has to select the particular LC that need to be transferred. As part of LOV criteria; user can input the Transfer LC Reference Number, Beneficiary, Currency, Amount or User Reference Number.	
Available Amount	This field displays the available amount along with currency for Transfer. It must be less than or equals to LC amount.	
First Beneficiary	First Beneficiary details is defaulted from the underlying Export LC.	EMR & CO
Branch	Read only field. Branch details will be auto-populated from LC details.	203-Bank Futura -Branch FZ1
Priority	System will default the Priority as Low/Medium/High based on maintenance.	High
Submission Mode	By default the submission mode will have the value as 'Desk'. the user can change the submission mode. Desk - Request received through Desk FAX - Request received through FAX Email - Request received through Email Courier - Request received through Courier	Desk

Field	Description	Sample Values
Transfer Amount	User has to input the Export LC transfer amount. Transfer amount plus tolerance cannot be more than value available in underlying Export LC. User cannot change the currency, it will be default from the underlying Export LC.	
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Transfer Date	System defaults the branch's current date.	
Customer Reference Number	The user can enter the Customer Reference Number, if any.	
Allow Substitution of Document	Toggle On: The bank is allowed to substitute the Export Documents received from Transferee with the documents from Beneficiary during Drawing.	

LC Details

Details in this screen displays the data from the LC issued.

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
LC Type	Select the applicable LC Type from LOV The values are: - Sight - Usance - Mixed	
Advising Bank	User can enter the details if applicable. System should validate whether the Advising Bank is RMA compliant, if not system should display an error message.	
Product Code	Read only field. Product Code of the underlying Export LC is displayed.	

Field	Description	Sample Values
Product Description	Read only field. Product Description of the underlying Export LC is displayed.	
40A - Form of Documentary Credit	User can select the type of LC (Documentary Credit) as per the requirement. Default LC type is Irrevocable.	
Form of Documentary Credit Details	User can select the Documentary Credit details.	
Documentary Credit Number	Generated from the back office and displayed in the application Number.	
Reference To Pre-Advice	This field is not applicable.	
Date of Issue	Application will default the branch's current date in date of issue. User cannot change the defaulted date. Application will populate the Date of Issue field with branch date on approval if date of approval is later than date of Registration.	
Applicable Rules	Select the applicable rules for the LC. Default rule as UCP Latest Version.	
Date Of Expiry	Date of Expiry is defaulted from the underlying Export LC and user should be allowed to change the values. On change of values, relevant validations should happen. Date of Expiry of Transferred LC cannot be later than the Expiry Date of the underlying Export LC.	
Place of Expiry	Place of Expiry is defaulted from the underlying Export LC and user should be allowed to change the values. On change of values, relevant validations should happen.	
Second Beneficiary	The user can select the beneficiary for Export LC Transfer. Click the look up icon to search the beneficiary based on Party ID/Party Name. If beneficiary is not a customer of the bank, then choose WALKIN customer id and provide the beneficiary details. If beneficiary is a customer and KYC status is not valid, then system will display alert message.	
Percentage Credit Amount Tolerance	Tolerance Amount to default from the underlying Export LC and user should not be allowed to change the values.	
Additional Amount Covered	User can provide additional amount included in Export LC.	

Miscellaneous

Export LC Transfer - Islamic

Documents Remarks Customer Instruction Common Group Messages

Application Details

Export LC Reference Number *
PK2ELIC211255001

Priority
Medium

Transfer Date
May 5, 2021

Available Amount *
GBP

Submission Mode
Desk

Customer Reference Number
PK2ELIC211255001

First Beneficiary *
001043 MARKS AND SP

Transfer Amount *
GBP

Allow Substitution of Document
☐

Branch
PK2-Oracle Banking Trade Finan...

Process Reference Number
PK2IELT000011468

View LC Events

LC Details

LC Type
Sight

40A - Form of Documentary Credit
IRREVOCABLE

31C - Date of Issue
May 5, 2021

Second Beneficiary *

Advising Bank

Form of Documentary Credit Details

40E - Applicable Rules
UCP LATEST VERSION

39A - Percentage Credit Amount Tolerance
10 / 10

Product Code
ELIC

20 - Documentary Credit Number *
PK2ELIC211255A/JOD

Date of Expiry *
Aug 3, 2021

39C - Additional Amount Covered

Product Description
Islamic Export LC with Adding Confirms

23 - Reference To Pre-Advice

31D - Place of Expiry
ASDF

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	User to upload the applicable documents. System displays the mandatory and optional documents. If mandatory documents are not uploaded, system should display an error on submit. The possible documents submitted under an Export LC Transfer request are:	
Remarks	Provide any additional information regarding the Beneficiary Consent. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	

Field	Description	Sample Values
Verify Signature	System displays the details of Authorized signatories. The pop up box should display the signature id, signature title and image of the signature for verification	
Action Buttons		
Submit	On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Export LC Transfer. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Cancel	Cancels the Export LC Transfer Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Scrutiny

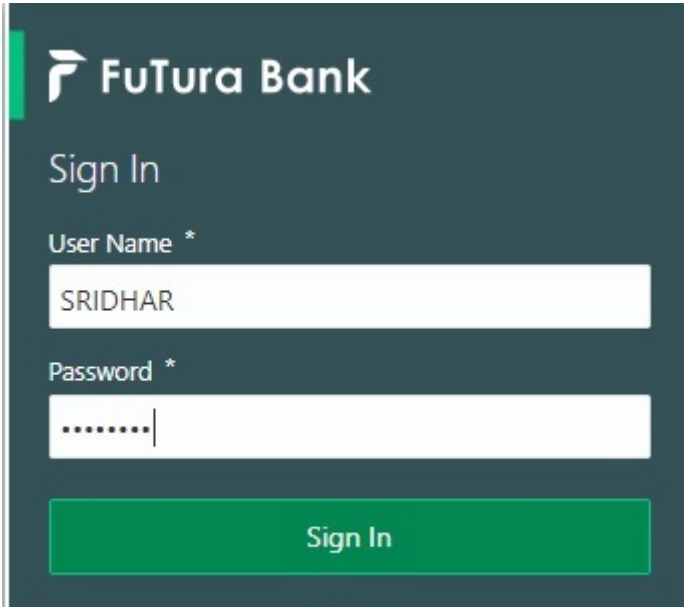
At Scrutiny stage, user can scrutinize the Islamic Export LC Transfer request. As part of Scrutiny, the user enters the basic details of the Export LC transfer request and can verify if the request can be processed further.

Non-Online Channel - Export LC Transfer request that were received at the desk will move to Scrutiny stage post successful Registration. The requests will have the details entered during the Registration stage.

Online Channel - Requests that are received via online channels like trade portal, external system and SWIFT are available directly for further processing from Scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage should be auto populated.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



FuTura Bank

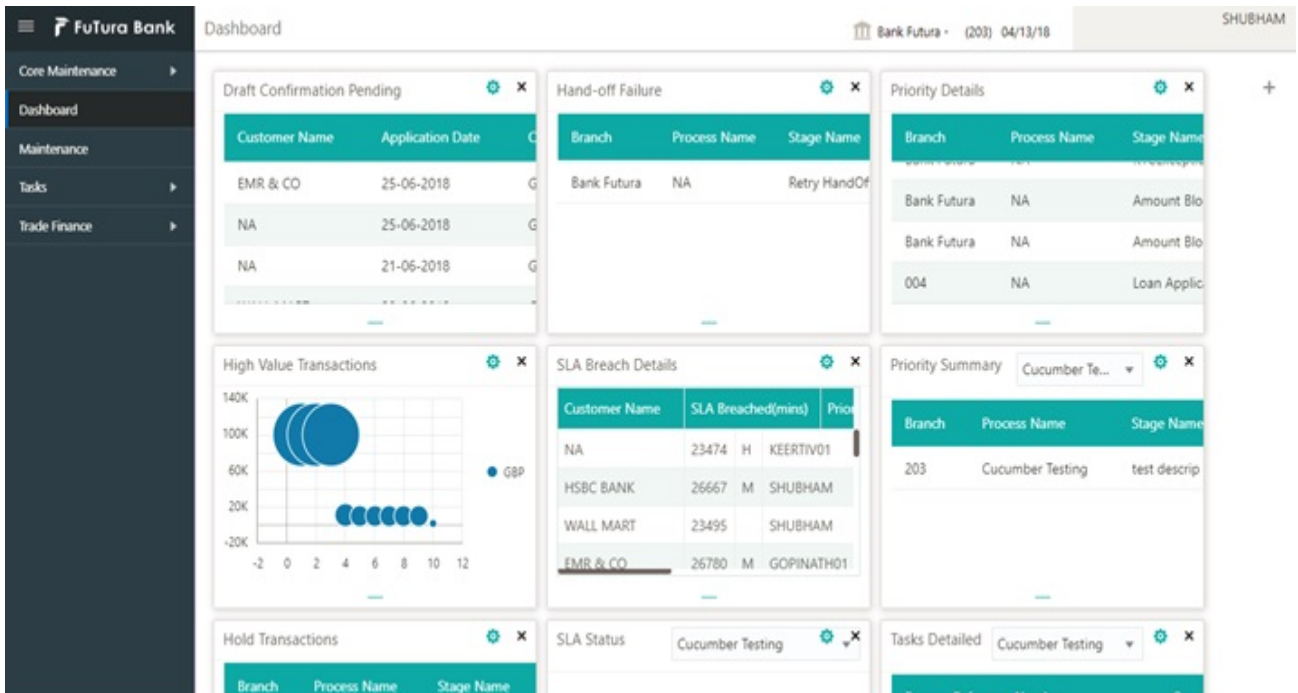
Sign In

User Name *

Password *

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



FuTura Bank Dashboard Bank Futura • (203) 04/13/18 SHUBHAM

Core Maintenance ▶
Dashboard
Maintenance
Tasks ▶
Trade Finance ▶

Draft Confirmation Pending

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

Priority Details

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

High Value Transactions

140K
100K
60K
20K
-20K

-2 0 2 4 6 8 10 12

GBP

SLA Breach Details

Customer Name	SLA Breached(mins)	Prio
NA	23474	H KEERTIV01
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATH01

Priority Summary

Cucumber Te...

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

Hold Transactions

Branch	Process Name	Stage Name
--------	--------------	------------

SLA Status

Cucumber Testing

Tasks Detailed

Cucumber Testing

3. Click **Tasks > Free Tasks**.

Free Tasks									
Menu Item Search...									
Refresh Acquire Flow Diagram									
Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Num	
☐ Acquire & E...	Medium	Islamic Export LC Transfer	PK2IELT000011468	PK2IELT000011468	Scrutiny	22-03-21	PK2	001043	
☐ Acquire & E...	--	Export Documentary Collection Booking	PK2EDCB000011476	PK2EDCB000011476	DataEnrichment	22-03-21	PK2	001356	
☐ Acquire & E...	--	Export Documentary Collection Booking	PK2EDCB000011475	PK2EDCB000011475	DataEnrichment	22-03-21	PK2	001356	
☐ Acquire & E...	--	Export Documentary Collection Booking	PK2EDCB000011474	PK2EDCB000011474	DataEnrichment	22-03-21	PK2	001356	
☐ Acquire & E...	--	Export Documentary Collection Booking	PK2EDCB000011473	PK2EDCB000011473	DataEnrichment	22-03-21	PK2	001044	
☐ Acquire & E...	--	Export Documentary Collection Booking	PK2EDCB000011472	PK2EDCB000011472	DataEnrichment	22-03-21	PK2	001356	
☐ Acquire & E...	--	Export Documentary Collection Booking	PK2EDCB000011471	PK2EDCB000011471	DataEnrichment	22-03-21	PK2	001356	
☐ Acquire & E...	--	Export Documentary Collection Booking	PK2EDCB000011469	PK2EDCB000011469	DataEnrichment	22-03-21	PK2	001356	
☐ Acquire & E...	High	Guarantee SBLC Advised -Claim Settle...	PK2GADC000011459	PK2GADC000011459	DataEnrichment	22-03-19	PK2	001044	
☐ Acquire & E...	Medium	Guarantee Advise Amendment Benefici...	PK2GTEA000011453	PK2GTEA000011453	DataEnrichment	22-03-19	PK2	000153	
☐ Acquire & E...	Medium	Guarantee SBLC Advised -Claim Update	PK2GADC000011260	PK2GADC000011260	Handoff RetryTask	22-03-15	PK2	001044	
☐ Acquire & E...	Medium	Guarantee SBLC Advised -Claim Settle...	PK2GADC000011259	PK2GADC000011259	Handoff RetryTask	22-03-15	PK2	001044	
☐ Acquire & E...	Medium	Guarantee Advise Amendment	PK2GTAA000011440	PK2GTAA000011440	DataEnrichment	22-03-19	PK2	001044	

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks									
Menu Item Search...									
Refresh Acquire Flow Diagram									
Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Num	
☒ Acquire & E...	Medium	Islamic Export LC Transfer	PK2IELT000011468	PK2IELT000011468	Scrutiny	22-03-21	PK2	001043	
☐ Acquire & E...	--	Export Documentary Collection Booking	PK2EDCB000011476	PK2EDCB000011476	DataEnrichment	22-03-21	PK2	001356	
☐ Acquire & E...	--	Export Documentary Collection Booking	PK2EDCB000011475	PK2EDCB000011475	DataEnrichment	22-03-21	PK2	001356	
☐ Acquire & E...	--	Export Documentary Collection Booking	PK2EDCB000011474	PK2EDCB000011474	DataEnrichment	22-03-21	PK2	001356	
☐ Acquire & E...	--	Export Documentary Collection Booking	PK2EDCB000011473	PK2EDCB000011473	DataEnrichment	22-03-21	PK2	001044	
☐ Acquire & E...	--	Export Documentary Collection Booking	PK2EDCB000011472	PK2EDCB000011472	DataEnrichment	22-03-21	PK2	001356	
☐ Acquire & E...	--	Export Documentary Collection Booking	PK2EDCB000011471	PK2EDCB000011471	DataEnrichment	22-03-21	PK2	001356	
☐ Acquire & E...	--	Export Documentary Collection Booking	PK2EDCB000011469	PK2EDCB000011469	DataEnrichment	22-03-21	PK2	001356	
☐ Acquire & E...	High	Guarantee SBLC Advised -Claim Settle...	PK2GADC000011459	PK2GADC000011459	DataEnrichment	22-03-19	PK2	001044	
☐ Acquire & E...	Medium	Guarantee Advise Amendment Benefici...	PK2GTEA000011453	PK2GTEA000011453	DataEnrichment	22-03-19	PK2	000153	
☐ Acquire & E...	Medium	Guarantee SBLC Advised -Claim Update	PK2GADC000011260	PK2GADC000011260	Handoff RetryTask	22-03-15	PK2	001044	
☐ Acquire & E...	Medium	Guarantee SBLC Advised -Claim Settle...	PK2GADC000011259	PK2GADC000011259	Handoff RetryTask	22-03-15	PK2	001044	
☐ Acquire & E...	Medium	Guarantee Advise Amendment	PK2GTAA000011440	PK2GTAA000011440	DataEnrichment	22-03-19	PK2	001044	

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to capture responses of the registered task.

My Tasks									
Menu Item Search...									
Refresh Release Escalate Delegate Flow Diagram									
Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amou
☒ Edit	Medium	Islamic Export LC Transfer	PK2IELT000011468	PK2IELT000011468	Scrutiny	22-03-21	PK2	001043	
☐ Edit	High	Guarantee SBLC Advised...	PK2GADC000011460	PK2GADC000011460	Approval Task Level 1	22-03-19	PK2	001044	
☐ Edit	Medium	Guarantee Amendment	PK2GTEA000011389	PK2GTEA000011389	DataEnrichment	22-03-17	PK2	001044	
☐ Edit	Medium	Islamic Export Docume...	PK2IEDC000011394	PK2IEDC000011394	Approval Task Level 1	22-03-17	PK2	001044	
☐ Edit	--	Import LC Amendment	PK2ILCA000011376	PK2ILCA000011376	Registration	22-03-17	PK2	001044	
☐ Edit	Medium	Islamic Export Docume...	PK2IEDU000011316	PK2IEDU000011316	KYC Exceptional approval	22-03-15	PK2	000153	
☐ Edit	Medium	Export LC Drawing Upd...	PK2ELCU000011182	PK2ELCU000011182	Handoff RetryTask	22-03-13	PK2	001044	
☐ Edit	--	Islamic Import LC Amen...	PK2IILA000011175	PK2IILA000011175	Registration	22-03-12	PK2	001044	
☐ Edit	--	Islamic Import LC Amen...	PK2IILA000011174	PK2IILA000011174	Registration	22-03-12	PK2	000153	
☐ Edit	--	ExportLC Amendment B...	PK2IEAM000011169	PK2IEAM000011169	Registration	22-03-12	PK2	001044	
☐ Edit	--	ExportLC Amendment B...	PK2IEAM000011168	PK2IEAM000011168	Registration	22-03-12	PK2	001044	
☐ Edit	--	Islamic Import Docume...	PK2IIDU000011157	PK2IIDU000011157	Registration	22-03-12	PK2	001044	
☐ Edit	Medium	Islamic Import Docume...	PK2IIDL000011114	PK2IIDL000011114	DataEnrichment	22-03-11	PK2	001044	

The Scrutiny stage has three sections as follows:

- Main Details
- Availability
- Payment
- Additional Fields
- Additional Details

- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- Transfer LC Details

Application Details

This section provides a quick snapshot of details of LC. User can enter the details in the following fields. Some of the fields that are already having value from registration/online channels will not be editable.

Islamic Export LC Transfer
Scrutiny :: Application No:- PK2IELT000011468

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main

Application Details

Export LC Reference Number *
PK2ELIC211255001

Available Amount *
GBP

First Beneficiary *
001043 MARKS AND SP

Branch
PK2-Oracle Banking Trade Finan...

Priority
Medium

Submission Mode
Desk

Transfer Amount *
GBP £120.00

Process Reference Number
PK2IELT000011468

Transfer Date
May 5, 2021

Customer Reference Number
PK2ELIC211255001

Allow Substitution of Document
☐

LC Details

LC Type
Sight

Advising Bank

Product Code
ELUC

Product Description
Islamic Export LC with Adding Confirmi

40A - Form of Documentary Credit
IRREVOCABLE

Form of Documentary Credit Details

20 - Documentary Credit Number *
PK2ELIC21125AJOD

23 - Reference To Pre-Advice

31C - Date of Issue
May 5, 2021

40E - Applicable Rules
UCP LATEST VERSION

Date of Expiry *
Aug 3, 2021

31D - Place of Expiry *
ASDF

Second Beneficiary *
000335 SH TEST CORP

39A - Percentage Credit Amount Tolerance
10 / 10

39C - Additional Amount Covered

Audit

Reject Refer Hold Cancel Save & Close Back Next

Field	Description	Sample Values
Export LC Reference Number	Read only System displays the Export LC Reference Number to be transferred.	
Available Amount	Read only - System should display the available amount along with currency for transfer.	
First Beneficiary	Read only - System displays the name of the Transferor-Applicant Name.	EMR & CO
Branch	Read only field. Branch details will be auto-populated from LC details.	203-Bank Futura -Branch FZ1
Priority	Priority to default based on priority maintenance and user should be allowed to change. Values are High, Medium and Low.	High
Submission Mode	Read only System should display the submission mode selected.	Desk
Transfer Amount	Read only -System should display the Export LC transfer amount.	
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Transfer Date	System defaults the LC Transfer Date.	
Customer Reference Number	The user can enter the Customer Reference Number, if any.	
Allow Substitution of Document	Read only - If selected, the bank is allowed to substitute the Export Documents received from Transferee with the documents from Beneficiary.	

LC Details

Registration user can capture the changes made to the LC in this section.

Capture the response based on the description in the following table:

Field	Description	Sample Values
LC Type	Read only field. System displays the selected LC Type from LOV	
Advising Bank	User can enter the details if applicable.	
Product Code	Read only field. Product Code of the underlying Export LC is displayed.	
Product Description	Read only field. Product Description of the underlying Export LC is displayed.	
40A - Form of Documentary Credit	User can select the type of LC (Documentary Credit) as per the requirement. Default LC type is Irrevocable.	
Form of Documentary Credit Details	The Documentary Credit details.	
Documentary Credit Number	Read only - This field displays the Documentary Credit Number of the selected LC.	
Reference To Pre-Advice	This field is not applicable.	
Date of Issue	Read only field. Application will default the branch's current date in date of issue.	
Applicable Rules	User can select the applicable rules for the LC. Default rule as UCP Latest Version. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Date Of Expiry	Date of Expiry is defaulted from the underlying Export LC and user should be allowed to change the values. On change of values, relevant validations should happen. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Place of Expiry	Place of Expiry is defaulted from the underlying Export LC and user should be allowed to change the values. On change of values, relevant validations should happen. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Second Beneficiary	This field displays the Second Beneficiary name selected for Export LC Transfer. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Percentage Credit Amount Tolerance	Tolerance Amount to default from the underlying Export LC and user should not be allowed to change the values. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Additional Amount Covered	User can provide additional amount included in Export LC. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Request Clarification	On click the Request Clarification button the user can specify the clarification details for requests received online.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Availability

User must scrutinize the Availability and Shipment details of an Islamic Export LC transfer request for the different fields under the respective data segments. In case the request is received through online channel, user will verify the details populated.

Islamic Export LC Transfer
Scrutiny :: Application No:- PK2IELT000011468

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main
Availability
Payment
Additional Fields
Additional Details
Summary

Availability
Availability Details

41a-Available with *
FDSA

41a-Available By *
BY PAYMENT

42C-Drafts At

42a-Drawee

42 P/M - Payment Details

Shipment Details

43P-Partial Shipments
ALLOWED

43T-Transshipment
NOT ALLOWED

44A-Place of Taking in Charge

44E-Port of Loading

44F-Port of Discharge

44B-Place of Final Destination

44C-Latest Date of Shipment
Mar 5, 2021

44D-Shipments Period

45A Description of Goods and/or Services

INCO Terms
CIF

INCO Terms Description
Cost, Insurance and Freight (named de

Goods Code	Goods Type	Goods Description	No of Units	Price per Unit	Total Amount	Action
CILLAFABRIC	G	CILLAFABRIC				

Page 1 of 1 (1 of 1 items)

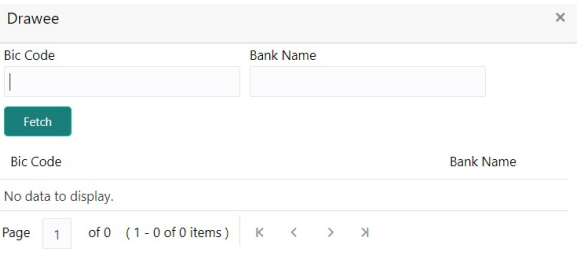
Audit

Reject Refer Hold Cancel Save & Close Back Next

User can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Available With	This field identifies the bank with which the credit is available. Online Channel - Read only Non Online Channel - It is an input field and user can enter the details. - If the LC is restricted to any particular bank,, search the bank with SWIFT code (BIC) or Bank Name. On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address gets defaulted. - If the LC is not restricted to any bank, provide free text - (YOURSELVES, WITH ANY BANK etc.). In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Available By	This field identifies how the credit is available. Online Channel – Read-only. In case of online request, system should auto-populate the details. User cannot change the populated value. This field displays the value of 'Available By' as per the issued LC. Online Channel - Read only Non Online Channel - It is an input field and user can enter the details. Choose one of the following values from drop down, if required. • BY NEGOTIATION • BY PAYMENT  Note If By payment is selected, payment at sight is applicable.	
Drafts At	This field displays the details of tenor of drafts to be drawn under the documentary credit. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Drawee	This field will have value only if 'Drafts at' field has values. Select the Drawee bank (Advising bank or Confirming bank). Search the bank with SWIFT code (BIC) or Bank Name.  On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.  Note This field is mandatory if value is provided at Drafts At field. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Payment Details	This field is applicable if, Available By filed has Mixed Payment or Deferred Payment. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Shipment Details

User can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Partial Shipments	This field specifies whether or not partial shipments are allowed under the documentary credit. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Transshipment	This field specifies whether or not transshipment is allowed under the documentary credit. Details should be defaulted from the underlying Transfer LC. Select the appropriate value from the drop down. Available values are: • ALLOWED • CONDITIONAL • NOT ALLOWED In case of online request, system should auto-populate the details. User cannot change the populated value.	
Place Of Taking In Charge	This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document. Details should be defaulted from the underlying Transfer LC.	
Port Of Loading	This field specifies the port of discharge or airport of destination to be indicated on the transport document. Details should be defaulted from the underlying Transfer LC. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Port Of Discharge	This field specifies the port of discharge or airport of destination to be indicated on the transport document. Details should be defaulted from the underlying Transfer LC. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Place Of Final Destination	This field specifies the final destination or place of delivery to be indicated on the transport document. Details should be defaulted from the underlying Transfer LC. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Latest Date Of Shipment	Provide the latest date for loading on board/ dispatch/taking in charge.  Note: This field is alternate to Shipment Period. Latest date of shipment or shipment period must have value and in case value is missing, application will display an error message. Latest shipment date should be on or before expiry date and should not be before the branch date. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Shipment Period	Online Channel – Read- only Non Online Channel - Provide the details of Shipment.  Note: This field is alternate to Latest Date of Shipment. Latest date of shipment or shipment period must have value and in case value is missing, application will display an error message. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Description Of Goods And Or Services

This field contains a description of the goods and/or services of the issued LC and can be changed if required. Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
INCO Terms	Details should be defaulted from the underlying LC. User should be able to change the details. In case of online request, system should auto-populate the details. User cannot change the populated value.	
INCO Description	Respective INCO term Description will be defaulted as per the INCO Terms code.	
+ Icon	Click + icon to add goods details.	

Field	Description	Sample Values
Goods Code	Goods Details is defaulted from the underlying LC and user can change the values. Click look up icon to select the goods code. Once you select goods code, value will populate in Goods Type and Goods Description.	
Goods Type	The goods type is defaulted from the underlying LC and user can change the values.	
Goods Description	The goods description is defaulted from the underlying Transfer LC and user can change the values.	
No of Units	The system displays the original number of units from the underlying Transfer LC.	
Price per Unit	The system displays the original price per unit from the underlying Transfer LC.	
Available Units	The system should display the available units of the goods. User should not be allowed to update this.	
No of Units	Specify the number of units. The system should display an error message if the value for units transferred is more than the units available.	
Price per Unit	Specify the price per unit is not more than the original price per unit.	
Total Amount	System to calculate the total price In case of online request, the system should populate the total amount from incoming request. System should validate that the total amount is equal to the value of the transaction (LC/ Collection).	
Action	Click Delete icon to remove goods details. Click Edit icon to edit the good details.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Request Clarification	On click the Request Clarification button the user can specify the clarification details for requests received online.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	Click of Back to move the task to the previous segment.	

Payment Details

In this section, user can input the Payment details for an Islamic Export LC Transfer.

Islamic Export LC Transfer
Scrutiny :: Application No:- PK2IELT000011468

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main
Availability
Payment
Additional Fields
Additional Details
Summary

Payment
Payment Details

49G-Special Payment conditions for beneficiary

58A - Requested Confirmation Party

78-Instructions to P/A/N Bank

49H-Special Payment conditions for receiving bank

58A - Requested Confirmation Party

72-Sender to Receiver Information

48-Period for Presentation

53A - Reimbursing Bank

71D - Charges

49-Confirmation Instructions *

WITHOUT

57A-Advise Through Bank

Audit

Reject Refer Hold Cancel Save & Close Back Next

Screen (3 / 6)

Field	Description	Sample Values
Special Payment Conditions for Beneficiary	Online and Non online Channels - If any special payment condition has to be provided to beneficiary, the details for the same must be captured in this field. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Special Payment Conditions for receiving Bank	Online and Non online Channels - If any special payment condition has to be provided to receiving bank, the details for the same must be captured in this field. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary, for example, post-financing request/ conditions for receiving bank only. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Period for Presentation	Online Channel - Read only. Non Online Channel – If the period of presentation is based on any event other than shipment, then you can capture the event name in text along with the number of days in number. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Confirmation Instructions	Online Channel - Read only. Non Online Channel – Select the confirmation instruction for the LC from the available LOV values – CONFIRM, MAY ADD, WITHOUT. Applicable only if field 49 - confirmation instruction is 'confirm' or 'may add'. You can search through LOV, Party type with banks should only be displayed in LOV. The system must display the following: a) SWIFT code (if available), b) Name and address of the bank On selection of the record, if SWIFT code is available then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Requested Confirmation Party	Online and Non Online Channels - Provide requested confirmation party details. If the Requested Confirmation Party has the value as "Others" then appropriate value must be selected from the LOV.  Note This field is applicable only for LC Type - Confirmed or May Add. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Reimbursing Bank	If reimbursing bank is applicable user must update the field. Online Channel - Update the details received. Non Online Channel - Search through LOV. Party type with banks will be displayed in LOV. • SWIFT code (if available), • Name and address of the bank On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Advise Through Bank	Online Channel – User can update the details received. Non-Online Channel - Search through LOV. Party type with banks must be displayed in LOV. • SWIFT code (if available) • Name and address of the bank On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Instructions to P/A/N Bank	Online Channel - User can update details received. Non Online Channel - Provide the details in this field. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Sender to Receiver Information	Online Channel - User can update details received. Non Online Channel - Provide details (FFT).	
Charges	Online Channel - User can update details received. Non Online Channel - Provide details (FFT). In case of online request, system should auto-populate the details. User cannot change the populated value.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Request Clarification	On click the Request Clarification button the user can specify the clarification details for requests received online.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	Click of Back to move the task to the previous segment.	

Additional Fields

In this section, the user can input in the additional fields implemented by the bank for Islamic Export LC Transfer.

Any user defined fields maintained at the bank level should be available in this Additional field details.

Islamic Export LC Transfer
Scrutiny :: Application No:- PK2IELT000011468

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main
Availability
Payment
Additional Fields
Additional Details
Summary

Additional Fields
Additional Fields
No Additional fields configured!

Audit Reject Refer Hold Cancel Save & Close Back Next

Screen (4 / 6)

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Request Clarification	On click the Request Clarification button the user can specify the clarification details for requests received online.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	Click of Back to move the task to the previous segment.	

Additional Details

User must verify/input/update additional details of an Islamic Export LC Transfer request for the different fields under the respective data segments. In case the request is received through online channel, user will verify the details populated.

As part of Additional details section, LC may have impact on the Limits, Collaterals and Charge section.

Islamic Export LC Transfer
Scrutiny :: Application No:- PK2IELT000011468

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main Availability Payment Additional Fields Additional Details Summary

Additional Details

Revolving Details

Revolving : No
Revolving In :
Revolving Frequency :

Commission, Charges and Taxes

Charge : GBP 300
Commission :
Tax :
Block Status : Not Initiated

Audit Reject Refer Hold Cancel Save & Close Back Next

Charge Details

Landing on the additional tab, the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details are auto-populated from the back-end system.

Commission, Charges and Taxes

Recalculate Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1 (0 of 0 items) < 1 >

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURAMND			GBP	£100.00		☐	☐	☐		PK20010440017
LCCOURAMND			GBP	£100.00		☐	☐	☐		PK20010440017

Page 1 of 1 (1-2 of 2 items) < 1 >

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close Cancel

Commission Details

If default commission is available under the product, it should be defaulted here with values.

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected.	
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Charges Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. The user cannot update tax detail and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	
Charges From Beneficiary	Detail of charges to be collected from beneficiary.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	

Field	Description	Sample Values
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Request Clarification	On click the Request Clarification button the user can specify the clarification details for requests received online.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment..	
Back	Click of Back to move the task to the previous segment.	

Summary

User can review the summary of details updated for Export LC Transfer. The user can see the summary tiles. The tiles should display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

Islamic Export LC Transfer
Scrutiny :- Application No:- PK2IELT000011468

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main Availability Payment Additional Fields Additional Details Summary

Summary

Main	Availability	Payment	Additional Fields
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05	Available With : FDSEA Available By : PAYMENT Port of Loading : Port of Discharge :	Period of Present. : Confirmation Instr. : WITHOUT	Click here to view Additional fields
Revolving Details	Commission, Charges and Taxes		
Revolving : N Revolving In : Revolving Frequency :	Charge : GBP300 Commission : Tax : Block Status : Not Initia		

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Screen (6)

Tiles Displayed in Summary

- Main Details - User can view the application details details and Export LC details. User can modify the details, if required.
- Availability - User can view already captured availability and shipment details. User can modify the details, if required.
- Payments - User can view all details related to payments. User can modify the details, if required.
- Additional Fields: Banks can configure the additional fields during implementation.
- Commission, Charges and Taxes: User can see the details provided for charges. User should be able to update the details if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Request Clarification	On click the Request Clarification button the user can specify the clarification details for requests received online.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Back	Click of Back to move the task to the previous segment.	
Submit	On Submit, system validates all mandatory field values and the task should move to the next logical stage. If the user submits without visiting other mandatory hops, then error message should be displayed and force the user to visit mandatory tabs/update mandatory fields.	

Data Enrichment

As a part of Data Enrichment stage, User can enter/update basic details of the incoming request.

Non Online Channel - Export LC Transfer request that were received at the desk will move to DE stage post successful registration and scrutiny stage. The transaction will have the details entered during the registration/scrutiny stage.

Online Channel - Request that are received via online channels like trade portal, external system and SWIFT are available directly for further processing in OBTFPM from scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage would be auto populated.

The user can select the respective field and will be allowed to edit/update the field.



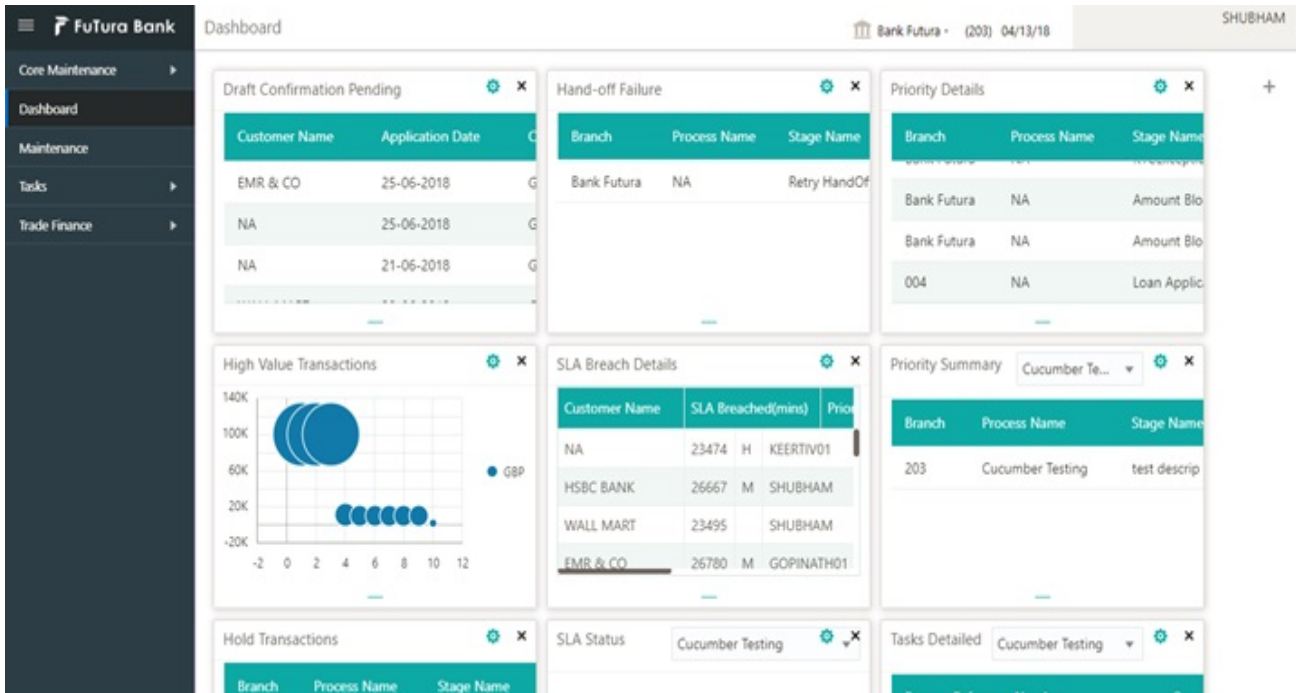
Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click **Tasks> Free Tasks**.

Menu Item Search...

Core Maintenance
Dashboard
Machine Learning
Security Management
Task Management
Tasks
Awaiting Customer Clarification
Business Process Maintenance
Completed Tasks
Free Tasks
Hold Tasks
My Tasks
Other User tasks

Refresh Acquire Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
☐ Acquire & E...	Medium	Islamic Export LC Transfer	PK2IELT000011468	PK2IELT000011468	DataEnrichment	22-03-21	PK2	001043
☐ Acquire & E...	Medium	Guarantee Issuance	PK2GTEI000011478	PK2GTEI000011478	Approval Task Level 1	22-03-21	PK2	001044
☐ Acquire & E...	--	Export Documentary Co...	PK2EDCB000011476	PK2EDCB000011476	DataEnrichment	22-03-21	PK2	001356
☐ Acquire & E...	--	Export Documentary Co...	PK2EDCB000011475	PK2EDCB000011475	DataEnrichment	22-03-21	PK2	001356
☐ Acquire & E...	--	Export Documentary Co...	PK2EDCB000011474	PK2EDCB000011474	DataEnrichment	22-03-21	PK2	001356
☐ Acquire & E...	--	Export Documentary Co...	PK2EDCB000011473	PK2EDCB000011473	DataEnrichment	22-03-21	PK2	001044
☐ Acquire & E...	--	Export Documentary Co...	PK2EDCB000011472	PK2EDCB000011472	DataEnrichment	22-03-21	PK2	001356
☐ Acquire & E...	--	Export Documentary Co...	PK2EDCB000011471	PK2EDCB000011471	DataEnrichment	22-03-21	PK2	001356
☐ Acquire & E...	--	Export Documentary Co...	PK2EDCB000011469	PK2EDCB000011469	DataEnrichment	22-03-21	PK2	001356
☐ Acquire & E...	High	Guarantee SBLC Advise...	PK2GADC000011459	PK2GADC000011459	DataEnrichment	22-03-19	PK2	001044
☐ Acquire & E...	Medium	Guarantee Advise Amen...	PK2GTEA000011453	PK2GTEA000011453	DataEnrichment	22-03-19	PK2	000153
☐ Acquire & E...	Medium	Guarantee SBLC Advise...	PK2GADC000011260	PK2GADC000011260	Handoff RetryTask	22-03-15	PK2	001044
☐ Acquire & E...	Medium	Guarantee SBLC Advise...	PK2GADC000011259	PK2GADC000011259	Handoff RetryTask	22-03-15	PK2	001044

Page 1 of 264 (1 - 20 of 5272 items) K < 1 2 3 4 5 ... 264 > X

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Menu Item Search...

Core Maintenance
Dashboard
Machine Learning
Security Management
Task Management
Tasks
Awaiting Customer Clarification
Business Process Maintenance
Completed Tasks
Free Tasks
Hold Tasks
My Tasks
Other User tasks

Refresh Acquire Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
☒ Acquire & E...	Medium	Islamic Export LC Transfer	PK2IELT000011468	PK2IELT000011468	DataEnrichment	22-03-21	PK2	001043
☐ Acquire & E...	Medium	Guarantee Issuance	PK2GTEI000011478	PK2GTEI000011478	Approval Task Level 1	22-03-21	PK2	001044
☐ Acquire & E...	--	Export Documentary Co...	PK2EDCB000011476	PK2EDCB000011476	DataEnrichment	22-03-21	PK2	001356
☐ Acquire & E...	--	Export Documentary Co...	PK2EDCB000011475	PK2EDCB000011475	DataEnrichment	22-03-21	PK2	001356
☐ Acquire & E...	--	Export Documentary Co...	PK2EDCB000011474	PK2EDCB000011474	DataEnrichment	22-03-21	PK2	001356
☐ Acquire & E...	--	Export Documentary Co...	PK2EDCB000011473	PK2EDCB000011473	DataEnrichment	22-03-21	PK2	001044
☐ Acquire & E...	--	Export Documentary Co...	PK2EDCB000011472	PK2EDCB000011472	DataEnrichment	22-03-21	PK2	001356
☐ Acquire & E...	--	Export Documentary Co...	PK2EDCB000011471	PK2EDCB000011471	DataEnrichment	22-03-21	PK2	001356
☐ Acquire & E...	--	Export Documentary Co...	PK2EDCB000011469	PK2EDCB000011469	DataEnrichment	22-03-21	PK2	001356
☐ Acquire & E...	High	Guarantee SBLC Advise...	PK2GADC000011459	PK2GADC000011459	DataEnrichment	22-03-19	PK2	001044
☐ Acquire & E...	Medium	Guarantee Advise Amen...	PK2GTEA000011453	PK2GTEA000011453	DataEnrichment	22-03-19	PK2	000153
☐ Acquire & E...	Medium	Guarantee SBLC Advise...	PK2GADC000011260	PK2GADC000011260	Handoff RetryTask	22-03-15	PK2	001044
☐ Acquire & E...	Medium	Guarantee SBLC Advise...	PK2GADC000011259	PK2GADC000011259	Handoff RetryTask	22-03-15	PK2	001044

Page 1 of 264 (1 - 20 of 5272 items) K < 1 2 3 4 5 ... 264 > X

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
☒ Edit	Medium	Islamic Export LC Transfer	PK2IELT000011468	PK2IELT000011468	DataEnrichment	22-03-21	PK2	001043	
☐ Edit	High	Guarantee SBLC Advise...	PK2GADC000011460	PK2GADC000011460	Approval Task Level 1	22-03-19	PK2	001044	
☐ Edit	Medium	Guarantee Amendment	PK2GTEA000011389	PK2GTEA000011389	DataEnrichment	22-03-17	PK2	001044	
☐ Edit	Medium	Islamic Export Docume...	PK2IEDC000011384	PK2IEDC000011384	Approval Task Level 1	22-03-17	PK2	001044	
☐ Edit	--	Import LC Amendment	PK2ILCA000011376	PK2ILCA000011376	Registration	22-03-17	PK2	001044	
☐ Edit	Medium	Islamic Export Docume...	PK2IEDU000011316	PK2IEDU000011316	KYC Exceptional approval	22-03-15	PK2	000153	
☐ Edit	Medium	Export LC Drawing Upd...	PK2ELCU000011182	PK2ELCU000011182	Handoff RetryTask	22-03-13	PK2	001044	
☐ Edit	--	Islamic Import LC Amen...	PK2IILA000011175	PK2IILA000011175	Registration	22-03-12	PK2	001044	
☐ Edit	--	Islamic Import LC Amen...	PK2IILA000011174	PK2IILA000011174	Registration	22-03-12	PK2	000153	
☐ Edit	--	ExportLC Amendment B...	PK2IEAM000011169	PK2IEAM000011169	Registration	22-03-12	PK2	001044	
☐ Edit	--	ExportLC Amendment B...	PK2IEAM000011168	PK2IEAM000011168	Registration	22-03-12	PK2	001044	
☐ Edit	--	Islamic Import Docume...	PK2IIDU000011157	PK2IIDU000011157	Registration	22-03-12	PK2	001044	
☐ Edit	Medium	Islamic Import Docume...	PK2IIDL000011114	PK2IIDL000011114	DataEnrichment	22-03-11	PK2	001044	

The Data Enrichment stage has following sections as follows:

- Main Details
- Availability
- Payment
- Documents and Conditions
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

Islamic Export LC Transfer
DataEnrichment :: Application No:- PK2IELT000011468

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main

Application Details

Export LC Reference Number *
PK2ELUC211255001

Available Amount *
GBP

Submission Mode
Desk

First Beneficiary *
001043 MARKS AND SP

Branch
PK2-Oracle Banking Trade Finan...

Priority
Medium

Transfer Date
May 5, 2021

Customer Reference Number
PK2ELUC211255001

Transfer Amount *
GBP £120.00

Process Reference Number
PK2IELT000011468

Allow Substitution of Document

LC Details

LC Type
Sight

Advising Bank

Product Code
ELUC

Product Description
Islamic Export LC with Adding Confirm...

40A - Form of Documentary Credit
IRREVOCABLE

Form of Documentary Credit Details

20 - Documentary Credit Number *
PK2ELUC21125A/JOD

23 - Reference To Pre-Advice

31C - Date of Issue
May 5, 2021

40E - Applicable Rules
UCP LATEST VERSION

Date of Expiry *
Aug 3, 2021

31D - Place of Expiry *
ASDF

Second Beneficiary *
000335 SH TEST CORP

39A - Percentage Credit Amount Tolerance
10 / 10

39C - Additional Amount Covered

Audit

Reject Refer Hold Cancel Save & Close Back Next

Application Details

Field	Description	Sample Values
Export LC Reference Number	Read only. System displays the Export LC Reference Number to be transferred.	
Available Amount	Read only. Displayed as available from earlier stages.	
First Beneficiary	Read only. Displayed as available from earlier stages.	EMR & CO
Branch	Read only. Branch details will be auto-populated from LC details.	203-Bank Futura -Branch FZ1
Priority	Priority to default based on priority maintenance and user should be allowed to change. Values are High, Medium and Low.	High
Submission Mode	Read only. Displayed as available from earlier stages.	Desk
Transfer Amount	Read only. Displayed as available from earlier stages.	
Process Reference Number	Read only. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	

Field	Description	Sample Values
Transfer Date	Read only System should default the branch's current date	
Customer Reference Number	The user can enter the Customer Reference Number, if any.	
Allow Substitution of Document	Read only - If selected, the bank is allowed to substitute the Export Documents received from Transferee with the documents from Beneficiary.	
First Beneficiary	Read only - Displayed as available from earlier stages.	EMR & CO
Branch	Read only field. Branch details will be auto-populated from LC details.	203-Bank Futura -Branch FZ1
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	Read only - Priority default based on priority maintenance and user should be allowed to change. Values are High, Medium and Low.	High
Submission Mode	Read only Displayed as available from earlier stages.	Desk
Customer Reference Number	The user can enter the Customer Reference Number, if any.	
Allow Substitution of Document	Read only. If the field is checked, the bank is allowed to substitute the Export Documents received from Transferee with the documents from Beneficiary.	

LC Details

Field	Description	Sample Values
LC Type	Read only field. The value used for LC Type as per the latest LC details should be displayed.	
Advising Bank	The Advising Bank as per the latest LC details should be displayed.	
Product Code	Read only field. Product Code of the underlying Export LC is displayed.	
Product Description	Read only field. Product Description of the underlying Export LC is displayed.	
40A - Form of Documentary Credit	User can select the type of LC (Documentary Credit) as per the requirement. Default LC type is Irrevocable. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Form of Documentary Credit Details	The Documentary Credit details.	
Documentary Credit Number	Read only - This field displays the Documentary Credit Number of the selected LC.	
Reference To Pre-Advice	This field is not applicable.	
Date of Issue	Read only field. Application will default the branch's current date in date of issue.	
Applicable Rules	User can select the applicable rules for the LC. Default rule as UCP Latest Version. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Date Of Expiry	The expiry date as per the latest LC details should be displayed.	
Place of Expiry	The place of expiry as per the latest LC details should be displayed.	
Second Beneficiary	Beneficiary name selected for Export LC Transfer to be displayed. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Field	Description	Sample Values
Percentage Credit Amount Tolerance	Tolerance Amount to default from the underlying Export LC and user be allowed to change the values. In case of online request, system should auto-populate the details. User cannot change the populated value.	
Additional Amount Covered	User can provide additional amount included in Export LC. In case of online request, system should auto-populate the details. User cannot change the populated value.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to see the documents uploaded.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task for working later. This option will not submit the request.	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Availability

DE user can verify and enter the basic details in Islamic Export LC transfer request for the different fields under the respective data segments. In case the request is received through online channel, user will verify the details populated.

Islamic Export LC Transfer
DataEnrichment :: Application No:- PK2IELT000011468

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main Availability Payment Documents and Conditions Additional Fields Advices Additional Details Settlement Details Summary

Availability

Availability Details

41a-Available with *
FDSA

41a-Available By *
BY PAYMENT

42C-Drafts At

42a-Drawee

42 P/M - Payment Details

43P-Partial Shipments
ALLOWED

43T-Transshipment
NOT ALLOWED

44A-Place of Taking in Charge

44E-Port of Loading

44F-Port of Discharge

44B-Place of Final Destination

44C-Latest Date of Shipment
Mar 5, 2021

44D-Shipment Period

45A Description of Goods and/or Services

INCO Terms
CIF

INCO Terms Description
Cost, Insurance and Freight (named de

Goods Code	Goods Type	Goods Description	No of Units	Price per Unit	Total Amount	Action
CILLAFABRIC	G	CILLAFABRIC				 

Page 1 of 1 (1 of 1 items)

Audit

Reject Refer Hold Cancel Save & Close Back Next

For Field Descriptions, refer to [Availability](#).

Payments

DE user can verify and enter the basic details available in the Islamic Export LC Transfer request.

Islamic Export LC Transfer
DataEnrichment :: Application No:- PK2IELT000011468

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Screen (3 / 9)

Payment

Payment Details

49G-Special Payment conditions for beneficiary

49H-Special Payment conditions for receiving bank

48-Period for Presentation

49-Confirmation Instructions *

58A - Requested Confirmation Party

58A - Requested Confirmation Party

53A - Reimbursing Bank

57A-Advise Through Bank

78-Instructions to P/A/N Bank

72-Sender to Receiver Information

71D - Charges

Audit Reject Refer Hold Cancel Save & Close Back Next

Refer to [Payments](#).

Documents and Conditions

User can enter/ update Documents and conditions details for Islamic Export LC Transfer. The below fields can be modified in DE stage.

- Document Details
- Additional Conditions.

Islamic Export LC Transfer
DataEnrichment :: Application No:- PK2IELT000011468

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Screen (4 / 9)

Documents and Conditions

Document Details

Code	Name	Copy	Original	Description	Action
AIRDOC	Air Way Documents			Airway Bill	
BOL	Bill of Lading				
INSDOC	Insurance Documents			+INSURANCE POLICY OR C...	
INVDOC	Invoice Documents			Commercial invoice, duly si...	
MARDOC	Sea Way Documents			SET of Clauses for CIF	

Page 1 of 2 (1-5 of 7 items) < 1 2 >

Additional Conditions

FFT Code	FFT Description	Action
49HSPLPMTREC	49hsplpmtrec	

Audit Reject Refer Hold Cancel Save & Close Back Next

Document Details

Documents details should default from underlying LC. If Substitute documents allowed is checked, system to give a warning message "Substitution of Documents allowed, please verify the documents"

Online Channel - System will default the details received in the Description column. Based on the details populated, user can pick corresponding values for document code, originals and copy.

Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both 'Bill Of lading' and 'Airway Bill' are chosen. Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both 'Bill Of lading' and 'Airway Bill' are chosen..

Additional Conditions

Online Channel - System will default the details received in the description column. System will parse the additional conditions required field into multiple line items based on line de-limiter (+) and shall populate each line item as a separate description. User can read the description and make any changes required to the description, also must be able to add more conditions.

Non Online Channel - User can use FFT to capture additional conditions and can edit the description populated from FFT. User should also be able to add additional FFT.

Documents and Checklist:

Documents: No documents are required for upload in this screen.

Checklist: User to verify if standard clauses are added to Additional conditions.

Remarks: User should be able to capture remarks as well as see remarks made in the earlier screens/stages

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to see the documents uploaded.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Save & Close	Save the information provided and holds the task in for working later. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	Click of Back to move the task to the previous segment.	

Additional Fields

Refer to [Additional Fields](#).

Advices

DE user can view the Advices generated during Export LC Transfer request. Advices menu displays the advices available under a product code from the back office as tiles. Some of the possible advices could be of Transfer LC Instrument (SWIFT MT 720), Transfer LC Instrument Copy, and Payment message.

Islamic Export LC Transfer
DataEnrichment :: Application No:- PK2IELT000011468

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main
Availability
Payment
Documents and Conditions
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Advice : TRANSFER_APP

Advice Name : TRANSFER_APP
Advice Party : APP
Party Name : GOODCARE PLC
Suppress : NO
Advice

Advice : TRANSFER_APP

Advice Name : TRANSFER_APP
Advice Party : APP
Party Name : GOODCARE PLC
Suppress : NO
Advice

Advice : TRANSFER_NEW...

Advice Name : TRANSFER_NEWBEN
Advice Party : BEN
Party Name : SH TEST CORP
Suppress : NO
Advice

Advice : TRANSFER_NEW...

Advice Name : TRANSFER_NEWBEN
Advice Party : BEN
Party Name : SH TEST CORP
Suppress : NO
Advice

Advice : TRANSFER_ORG...

Advice Name : TRANSFER_ORGBEN
Advice Party : BEN
Party Name : MARKS AND SPENCER
Suppress : NO
Advice

Advice : TRANSFER_ORG...

Advice Name : TRANSFER_ORGBEN
Advice Party : BEN
Party Name : MARKS AND SPENCER
Suppress : NO
Advice

Advice : TRANSFER_ISB

Advice Name : TRANSFER_ISB
Advice Party : ISB
Party Name : WELLS FARGO LA
Suppress : NO
Advice

Advice : TRANSFER_ISB

Advice Name : TRANSFER_ISB
Advice Party : ISB
Party Name : WELLS FARGO LA
Suppress : NO
Advice

Advice : TRANSFER_LC

Advice Name : TRANSFER_LC
Advice Party :
Party Name :
Suppress : YES
Advice

Advice : TRANSFER_LC

Advice Name : TRANSFER_LC
Advice Party :
Party Name :
Suppress : YES
Advice

Advice : TRANSFER_NEW...

Advice Name : TRANSFER_NEWATB
Advice Party :
Party Name :
Suppress : YES
Advice

Advice : TRANSFER_NEW...

Advice Name : TRANSFER_NEWATB
Advice Party :
Party Name :
Suppress : YES
Advice

Audit

Reject Refer Hold Cancel Save & Close Back Next

Screen (6 / 9)

The user can also suppress the Advice, if required.

Advice Details

Advice Details

Suppress Advice

☐

Party ID

001044

Advice Name

GUA_CLAIM_ADV

Medium

MAIL

Advice Party

APP

Party Name

GOODCARE PLC

FFT Code

+

-

No data to display.

Instructions

OK

Cancel

Field	Description	Sample Values
Suppress Advice	Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required.	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
Delete icon	Click Delete icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	

Field	Description	Sample Values
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
Delete icon	Click delete icon to remove any existing instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to see the documents uploaded.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task in for working later. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	Click of Back to move the task to the previous segment.	

Additional Details

DE user can verify and enter the basic additional details available for the Islamic Export LC Transfer request.

The various additional details should be available as tile. Each tile can be selected and the respective screen will open for the user to capture details.

This is a multi-grid section with facility to attach more than one line.

Islamic Export LC Transfer
DataEnrichment :: Application No:- PK2IELT000011468

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main
Availability
Payment
Documents and Conditions
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Additional Details

Revolving Details
Revolving : **No**
Revolving In :
Revolving Frequency :

Commission, Charges an...
Charge : **GBP 300**
Commission :
Tax :
Block Status : **Not Initiated**

Preview Messages
Language :
Preview Advice : -

Audit

Reject Refer Hold Cancel Save & Close Back Next

Screen (7 / 9)

Preview Message

The bank user can view a preview of the message and advice simulated from back office which is based on the guarantee Claim captured in the previous screen.

Preview Messages

Preview - SWIFT Message

LanguageEnglish

Message Type700

Preview Message

```
{1:F01LOYDGB2LAXXX111111111}
{2:700WFBUIU56SXXXIN}
{3:{108:300MSO:G160011LXJ}}
{4:
:27:1/1
:40A:IREVOCABLE
:20:300ILSN16001B6GB
:31C:160101
:40E:UCP LATEST VERSION
:31D:160531LONDON
:50:MARKS AND SPENCER
MARGUS2$XXX
:59:GOODCARE PLC
12 KING STREET
:32B:GBP100.
:41A:SKIVDK22SON
BY PAYMENT
:43P:NOT ALLOWED}
```

Preview - Mail Advice

LanguageEnglish

Advice Type

Preview Message

Save & Close

Cancel

The Preview section consists of following.

Field	Description	Sample Values
Preview SWIFT Message		
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Message	Display a preview of the draft message.	
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Preview Message	This toggle enables the user to select if draft confirmation is required or not	



Note

Preview to have MT 720 as SWIFT and other advices as Mail Advice. This needs to be mentioned under Preview messages heading.

Draft Confirmation

The user can view the draft LC message (outgoing MT700 SWIFT message format) being displayed on the preview message text box.

If the user wants to send a copy of the draft LC for customer confirmation, the same can be done by choosing the customer response slider as 'Yes'. On submit of the data enrichment stage the mail message to the customer will be sent.

The task will not move to approval but to 'Pending customer response stage. Upon receipt of customer's confirmation, the transaction moves to approval.

In case the customer asks for changes, the transaction will move to data enrichment and after necessary changes, it will move to approval.

Commission, Charge and Taxes

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details are auto-populated from the back-end system.

Commission, Charges and Taxes ×

Recalculate

Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1 of 0 (0 of 0 items) ⏪ 1 ⏩

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURAMND			GBP	£100.00		☐	☐	☐		PK20010440017
LCCOURAMND			GBP	£100.00		☐	☐	☐		PK20010440017

Page 1 of 1 (1-2 of 2 items) ⏪ 1 ⏩

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close

Cancel

Commission Details

If default commission is available under the product, it should be defaulted here with values.

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	

Field	Description	Sample Values
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected.	
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Charges Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. The user cannot update tax detail and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	
Charges From Beneficiary	Detail of charges to be collected from beneficiary.	

Limit and Collateral

Limits Details

System will display the Limits available as applicable during the issuance.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBT FPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

Limits and Collaterals

Limit Details

	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
	000327		100	USD	\$100.00			000327	

Cash Collateral Details

Collateral Percentage *
67.0

Collateral Currency and amount
USD
\$67.00

Exchange Rate
1

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response
1	GBP	PK1000327018	1.3	100	\$67.00	0	VS	The arr can ha

Deposit Linkage Details

	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
	PK2CDP1210860501	GBP	2022-03-27	GBP	199100	\$990.00	PK2CDP1210860501	

Page 1 of 1 (1 of 1 items)

Limit Details

Customer Id
001044

Line ID *
001044

Contribution % *
100.0

Limits Description

Contribution Currency
GBP

Contribution Amount *
£2,200.00

Limit Currency
GBP

Limit Available Amount
£999,528,418,464.36

Limit Check Response
Available

Response Message
The Earmark can be performed as the f

Expiry Date

Verify

Save & Close
Close

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
-------------	--	--

Field	Description	Sample Values
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.  Note <u>User can also select expired Line ID from the lookup and on clicking the verify button, system should default “The Earmarking cannot be performed as the Line ID is Expired” in the “Response Message” field.</u>	
Contribution%	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Limits Description	This field displays the limits description.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available' based on the limit service call response.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Collateral Details

Total Collateral Amount *

\$67.00

Sequence Number

2.0

Collateral Contribution Amount *

\$67.00

Settlement Account Currency

GBP

Contribution Amount in Account Currency

£0.00

Response

VS

Verify

Collateral Amount to be Collected *

\$0.00

Collateral Split % *

100.0

▼ ▲

Settlement Account *

PK1000327018

🔍

Exchange Rate

1.3

▼ ▲

Account Available Amount

£99,999,393,343.91

Response Message

The amount block can be performed as:

✓ Save & Close

✕ Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	
Click + plus icon to add new collateral details.		
Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.		
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	

Field	Description	Sample Values
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Settlement Account Currency will be auto-populated based on the Settlement Account selection.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the Verify button.	
Response Message	Detailed Response message. System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Field	Description	Sample Values
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified."	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Revolving Details

Revolving Details

Revolving
No
Next Reinstatement Date

Revolving In
Cumulative

Revolving Frequency
Automatic Reinstatement

Field	Description	Sample Values
Revolving	Read only field. Displays the LC is revolving or non-revolving.	
Revolving In	Read only field. The LC can revolve with Time or Units.	
Revolving Frequency	Read only field. This field captures the frequency in days and months by which the LC revolves.	
Revolving Units	Read only field. This field captures the units by which the LC revolves.	
Next Reinstatement Date	Read only field. This field defaults the date of next reinstatement for the LC based on the revolving frequency selected.	
Cumulative	Read only field. This field is a toggle to indicate if the LC value has to be cumulative or not on reinstatement.	
Automatic Reinstatement	Read only field. This field enables to have automatic reinstatement on the reinstatement day without manual intervention.	

Action Buttons

For Action Buttons, Refer to [Additional Details](#).

Settlement Details

The user can view/input/verify the settlement details for Islamic Export LC Transfer request. In case the request is received through online channel, user will verify the details populated.

Islamic Export LC Transfer
DataEnrichment :: Application No:- PK2IELT000011468

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Screen (8 / 9)

Settlement Details

☐ Current Event

Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
COLLAMT_OSEQ	GBP	Debit	PK20010430013	MARKS AND SPENCER	GBP	No	No
COLLAMT_OSEQ	GBP	Debit	PK20010430013	MARKS AND SPENCER	GBP	No	No
COLLAMNDAMTEQ	GBP	Debit	PK20010430013	MARKS AND SPENCER	GBP	No	No
COLLAMNDAMTEQ	GBP	Debit	PK20010430013	MARKS AND SPENCER	GBP	No	No
COLLAMTEQ	GBP	Debit	PK20010430013	MARKS AND SPENCER	GBP	No	No
COLLAMTEQ	GBP	Debit	PK20010430013	MARKS AND SPENCER	GBP	No	No
COLLAMT_DECR	GBP	Credit	PK20010430013	MARKS AND SPENCER	GBP	No	No
COLLAMT_DECR	GBP	Credit	PK20010430013	MARKS AND SPENCER	GBP	No	No
COLLAMT_INCR	GBP	Debit	PK20010430013	MARKS AND SPENCER	GBP	No	No
COLLAMT_INCR	GBP	Debit	PK20010430013	MARKS AND SPENCER	GBP	No	No

COLLAMT_OSEQ - Party Details

Transfer Type: Bank Transfer

Charge Details: Remitter All Charges

Netting Indicator: [Dropdown]

Ordering Customer: [Search Name/Account]

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	System populates the components based on the product selected.	
Currency	System displays the currency for the component.	
Debit/Credit	System defaults the debit/credit indicators for the components	
Account	System defaults the value based on the product selected.	
Account Description	System displays the account description for the account chosen.	
Account Currency	System displays the account currency for all items based on account number	
Netting Indicator	System displays the netting indicator applicable.	
Current Event	Application displays the current event as Y or N.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to see the documents uploaded.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task in for working later. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	Click of Back to move the task to the previous segment.	

Summary

User can review the summary of details updated in Data Enrichment stage Islamic Export LC Transfer request. As part of summary screen, I should be able to see the summary tiles. The tiles should display a list of important fields with values.

Islamic Export LC Transfer
DataEnrichment :: Application No:- PK2IELT000011468

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Main Availability Payment Documents and Conditions Additional Fields

Summary

Screen (9 / 9)

Main	Availability	Payment	Documents and Conditions	Additional Fields
Form of LC : IRREVOCABLE	Available With : FDSA	Period of Present. :	Document 1 : AIRDOC	Click here to view :
Submission Mode : Desk	Available By : PAYMENT	Confirmation Instr. : WITHOUT	Document 2 : BOL	Additional fields
Date of Issue : 2021-05-05	Port of Loading :		Document 3 : INSDOC	
	Port of Discharge :		Document 4 : INVDOD	
			Document 5 : MARDOC	

Advices	Revolving Details	Commission, Charges and Taxes	Preview Messages	Settlement Details
Advice 1 : TRANSFER_APP	Revolving : N	Charge : GBP300	Language : ENG	Component : LIEXADV LIQD
Advice 2 : TRANSFER_APP	Revolving In :	Commission :	Preview Message : -	Account Number : PK20010430
Advice 3 : TRANSFER_N	Revolving Frequency :	Tax :		Currency : GBP
Advice 4 : TRANSFER_N		Block Status : Not Initia		
Advice 5 : TRANSFER_O				

Accounting Details	Party Details	Compliance
Event : AVAL	Applicant : GOODCARE PLC	KYC : Not Initia
AccountNumber : 520000002	Confirming Bank : WELLS FARG	Sanctions : Not Initia
Branch : PK2	Beneficiary : SH TEST CORP	AML : Not Initia

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view the application details details and Transfer LC details. User can modify the details, if required.
- Availability - User can view already captured availability and shipment details. User can modify the details, if required.
- Payments - User can view all details related to payments. User can modify the details, if required.
- Additional Fields: Banks can configure the additional fields during implementation.
- Limits and Collaterals: User should be able to see captured details of limits and collateral. User should be able to update data of any field in details.
- Commission, Charges and Taxes: User can see the details provided for charges. User should be able to update the details if required.
- Settlement Details: User can see the Settlement details.
- Revolving Details: User can drill down into revolving details tile to see more information on revolving LC if applicable.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	On Submit, system should validate for all mandatory field values and the task should move to the next logical stage. If the user submits without visiting other mandatory hops, then error message should be displayed and force the user to visit mandatory tabs/update mandatory fields.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Save & Close	Save the information provided and holds the task in for working later. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Field	Description	Sample Values
Back	Click of Back to move the task to the previous segment.	

Customer Response - Draft Confirmation

The user can review and handle the customer's response received for the draft confirmation for Transfer LC transactions, which is sent to the customer for their verification and confirmation.

The customer response can be received both by online and offline mode. In non-online mode, user receives the response in the branch.

Non Online mode - User will have a physical response of the customer.

In online mode the customer will share their response online that will be automatically updated in the customer response field in the task, which is available in the customer response pending stage.

Log in into OBTFPM application, and open the task to see customer response screen.

Application

All fields displayed under Application details section, would be read only.

Received from Applicant Bank: Read Only field

Received from-Customer Id: Read Only field

Customer Name: Read Only field.

Branch: Read Only field.

Currency Code: Read Only field.

Amount: Read Only field.

Priority: Read Only field

Submission Mode: Read Only field.

Process Reference Number: Read Only field

Application Date: Read Only field.

Customer Reference Number: Read Only field.

Customer Response

All fields displayed under Application details section, would be read only.

Language - Read only field

Draft Message - Read only field

Draft Confirmation

Draft Confirmation required - Read only field

Customer Response - This field will be available for you to update any of the below response based on the customer's reply

- Accepted
- Not Accepted (Remarks)

For non-online response – User can select customer response from one of the three drop list values mentioned above.

For Online response – Read only

For Non Online response – The default would be pending. The user will be able to select from the drop list if the customer has accepted the draft or has request for change based on the above drop list responses.

Customer Remarks: The user can capture the remarks of the customer.

Response Date: Non Online channel – I will update the date on which the customer response has been received.

Online Channel – Read Only

Summary

Tiles Displayed in Summary

- Main Details - User can view the details about application details and LC details.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Availability and Shipment - User can view the availability and shipment details.
- Payments - User can view all details related to payments.
- Documents & Condition - User can view the documents required grid and the additional conditions grid.
- Charges: User can see the details for charges, commission and taxes.
- Preview Messages - User can view the preview details.
- Compliance - User can view compliance details with statuses.

Documents – User must be able to view the documents uploaded in the system and upload documents if customer response has been received through non- online channel.

Remark - Capture remarks if required and must be able to view the remarks captured during earlier stages.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Transfer. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Cancel	Cancel the Draft Confirmation.	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. On reject, a reject notification gets generated to the applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	

Multi Level Approval

This stage allows the approver user to review and approve the Export LC Transfer transaction.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Re-Key Authorization

For non-online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Currency
- Contract Amount
- Expiry Date

Approval Rekey

View Signature

Documents

Remarks

Transfer Currency

GBP

✓

Transfer Amount

GBP

£500,000.00

✓

Date of Expiry

Mar 5, 2021

✓

Refer

Close

Proceed

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

Summary

My Tasks

(DEFAULTTNTY)
 (PK2) May 6, 2019

SRIDHAR02
subham@gmail.com

Islamic Export LC Transfer- Approval Task Level 1 :: Application No: PK2ELCT000056498

Summary

Main	Availability	Payment	Documents and Conditions	Additional Fields
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05	Available With : FDSA Available By : PAYMENT Port of Loading : Port of Discharge :	Period of Present. : Confirmation Instr. : WITHOUT	Document 1 : AIRDOC Document 2 : BOL Document 3 : INSDOC Document 4 : INVDOC Document 5 : MARDOC	Click here to view : Additional fields
Advices	Revolving Details	Commission, Charges and Taxes	Preview Messages	Settlement Details
Advice 1 : TRANSFER_APP Advice 2 : TRANSFER_APP Advice 3 : TRANSFER_N Advice 4 : TRANSFER_N Advice 5 : TRANSFER_O	Revolving : N Revolving In : Revolving Frequency :	Charge : GBP300 Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -	Component : LIEXADV_LIQD Account Number : PK20010430 Currency : GBP
Accounting Details	Party Details	Compliance		
Event : AVAL AccountNumber : 520000002 Branch : PK2	Applicant : GOODCARE PLC Confirming Bank : WELLS FARG Beneficiary : SH TEST CORP	KYC : Not Initia Sanctions : Not Initia AML : Not Initia		

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and Export LC details. User can modify the details, if required.
- Availability and Shipment - User can view already captured availability and shipment details. User can modify the details, if required.
- Documents and Conditions: User can to view the details of Documents and Conditions.
- Payments - User can view all details related to payments. User can modify the details, if required.
- Amendment Details - User can view the amended details.
- Additional Fields: Banks can configure the additional fields during implementation.
- Advices: User should be able to view the advice details.
- Commission, Charges and Taxes: User can see the details provided for charges. User should be able to update the details if required.
- Parties Details: User can see the party details like beneficiary, advising bank etc.
- Preview Messages: User can see the preview details grid.
- Settlement Details: User can see the Settlement details.
- Compliance Details: User can see the compliance details tiles. The status should be verified for KYC, AML and Sanction Checks.
- Accounting Details: User can view the accounting details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the approval and return to dashboard. The data input will not be saved.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Customer - Acknowledgement Format

Customer Acknowledgment is generated every time a new Export LC Transfer is requested from the customer. The acknowledgment letter format is as follows:

To:

<CUSTOMER NAME>

DATE: DD-MM-YYYY

<CUSTOMER ADDRESS>

Dear Sir,

SUB: Acknowledgement to your LC Application number <CUSTOMER REFERENCE NUMBER> dated <APPLICATION DATE>

This letter is to inform you that we have received your application for Export LC Transfer with the below details:

Applicant: XXXX

Beneficiary: XXXX

Currency: XXXX

Amount: XXXX

Issue Date: XXXX

We have also received the following Documents from you for processing the request:

Document Name 1

Document Name 2

Document Name n

We have registered your request. Please quote our reference < PROCESS REF NUMBER> in any future correspondence.

This acknowledgement does not constitute transfer of Export LC .

Thank you for banking with us.

Regards,

<DEMO BANK>

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Thank you

Customer - Reject Format

Reject Letter is generated by the system and addressed to the customer, when a task is rejected by the user. The Reject Letter format is as follows:

FROM:

<BANK NAME>

<BANK ADDRESS>

TO:

DATE <DD/MM/YYYY>

<CUSTOMER NAME>

<CUSTOMER ADDRESS>

<CUSTOMER ID>

Dear Sir,

SUB: Your Export LC Transfer Application <Customer Reference Number> under our Process Ref <Process Ref No> - Rejected

Further to your recent Export LC Transfer application request dated <Application Date -DD/MM/YYYY>, under our process ref no <process ref no>, this is to advise you that we will not be able to transfer the required Export LC.

After a thorough review of your application and the supporting documents submitted, we have concluded we will not be able to issue the LC due to the below reason

<Reject Reason >

On behalf of Demo Bank, we thank you for your ongoing business and trust we will continue to serve you in future.

For any further queries about details of your Export LC Transfer review, please contact us at our bank customer support ph.no xxxxxxxxxxxx

Yours Truly

Authorized Signatory

A

Additional Details	
Limits & Collateral	52
Revolving Details	56
Application Details	5
Availability & Shipment	
Shipment Details	19

B

Beneficiary Consent Response Capture	
Main Details	12
Benefits	1

C

Common Initiation Stage	2
Action Buttons	2
Customer - Acknowledgement Format	66
Customer - Reject Format	67
Customer Response - Draft Confirmation	60
Application	61
Customer Response	61
Action Buttons	62
Draft Confirmation	61
Summary	62

D

Data Enrichment	35
Additional Details	48
Action Buttons	56
Commission, Charge and Taxes	50
Draft Confirmation	50
Preview Message	49
Additional Fields	45
Advices	45
Action Buttons	47
Availability & Shipment	38, 42
Documents & Conditions	43
Additional Conditions	44
Documents and Checklist	44
Main Details	38
Action Buttons	41, 47
Application Details	38
LC Details	40
Settlement Details	57
Action Buttons	57
Summary	58
Action Buttons	59
Documents and Conditions	
Action Buttons	44

E

Export LC Amendment	
Data Enrichment	35
Exceptions	60
Multi Level Approval	63
Export LC Amendment - Beneficiary Consent	2

K

Key Features	1
--------------------	---

L

LC Details	6
------------------	---

M

Main Details	
Application	13, 38
Application Details	13, 38
Miscellaneous	8
Multi Level Approval	63
Action Buttons	65
Summary	64

O

Overview	1
----------------	---

R

Registration	3
Application Details	5
Re-Key Authorization	63

S

Scrutiny	9
Additional Details	
Action Buttons	32
Charge Details	30
Commission Details	31
Tax Details	31
Additional Fields	27
Action Buttons	28
Amendment Details	27
Application Details	12
Availability and Shipment Details	16
LC Details	13
Main Details	12
Summary	33
Action Buttons	34
Srcutiny	

Availability and Shipment Details	
Action Buttons	22
Description Of Goods And Or Services	21
Shipment Details	19
Payment Details	23
Action Buttons	26

References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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